



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Walpole et al. GROUP: 2874
SERIAL NO: 09/903,099 EXAMINER: E.P. Cherry
FILED: 07/11/2001
FOR: SLAB-COUPLED OPTICAL WAVEGUIDE LASER AND AMPLIFIER

#10
D. Scott
5-13-03

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

In compliance with 37 C.F.R. §§1.56, 1.97, and 1.98, Applicant submits copies of the documents listed on the attached Form PTO-1449.

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The listed documents were recently cited in a corresponding PCT application, and a copy of the International Search Report is being submitted herewith for purposes of convenience.

The Commissioner is authorized to charge Deposit Order Account No. 19-0079 for any further fee that is required.

Respectfully submitted,

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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Emily K. Porell

05/08/2003
Date

FORM PTO-1449 SAMUELS, GAUTHIER & STEVENS LLP
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09/903,099
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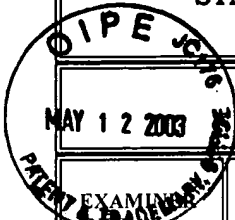
Walpole et al.
 APPLICANT

2874
 GROUP

July 11, 2001
 FILING DATE

E.P. Cherry
 EXAMINER

**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	4,791,646	12/13/1988	Lindsey et al.			11/23/1987
AB	3,899,235	08/12/1975	Arnaud et al.			03/11/1974
AC						
AD						
AE						
AF						
AG						
AH						
AI						

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AJ						
AK						
AL						
AM						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	DOCUMENT NUMBER	DESCRIPTION
AN		"A Real Index Guided InGaIP Visible Laser Diode with a Small Beam Astigmatism," Okajima et al. IEEE Journal of Quantum Electronics. June, 1991. No. 6.
AO		

EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.